

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	4973239
<b>Application Number:</b>	10783538
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	7446
<b>Title of Invention:</b>	Securities market and market maker activity tracking system and method
<b>First Named Inventor/Applicant Name:</b>	Stephen Cutler
<b>Customer Number:</b>	23908
<b>Filer:</b>	Morris David Galin
<b>Filer Authorized By:</b>	
<b>Attorney Docket Number:</b>	CUTSP0104US
<b>Receipt Date:</b>	16-MAR-2009
<b>Filing Date:</b>	20-FEB-2004
<b>Time Stamp:</b>	16:18:45
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$65
RAM confirmation Number	1809
Deposit Account	180988
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**File Listing:**

<b>Document Number</b>	<b>Document Description</b>	<b>File Name</b>	<b>File Size(Bytes)/Message Digest</b>	<b>Multi Part /.zip</b>	<b>Pages (if appl.)</b>
1		CUTSP0104US_R02.pdf	233598 97c5f4f5a166c04e0646079fdd93e043e66f 71a8	yes	60

**Multipart Description/PDF files in .zip description**

<b>Document Description</b>	<b>Start</b>	<b>End</b>
Amendment/Req. Reconsideration-After Non-Final Reject	1	1
Claims	2	41
Applicant Arguments/Remarks Made in an Amendment	42	60

**Warnings:**
**Information:**

2	Extension of Time	CUTSP0104US_R02_ExtofTime.pdf	242058 3ac4fea69ae21e3ce0ade88a5b2ce20fab49 db18	no	2
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**Warnings:**
**Information:**

3	First Action Interview - Schedule Interview request	CUTSP0104US_InterviewRequest.pdf	283986 9f36816f6e4bf853cb5afe87e44ef8e5df8c9 a8d	no	2
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**Warnings:**
**Information:**

4	Fee Worksheet (PTO-06)	fee-info.pdf	30126 0d469b94abe32bd13b7e78039cf8fdbe7f bb2a3	no	2
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**Warnings:**
**Information:**

<b>Total Files Size (in bytes):</b>	789768
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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.